



# ***The State Of The Hard-Sphere Solid***

A journal-club-style-type seminar outlining these two papers:

**Stacking entropy of hard-sphere solids**  
Siun-Chuon Mau & David A. Huse  
*April 1999: Physical Review E,  
Vol. 59, No. 4, pp. 4396-4401.*

**Can stacking faults in hard-sphere  
crystals anneal out spontaneously?**  
Sander Pronk & Daan Frenkel  
*March 1999: Journal of Chemical Physics,  
Vol. 110, No. 9, pp. 4589-4592.*

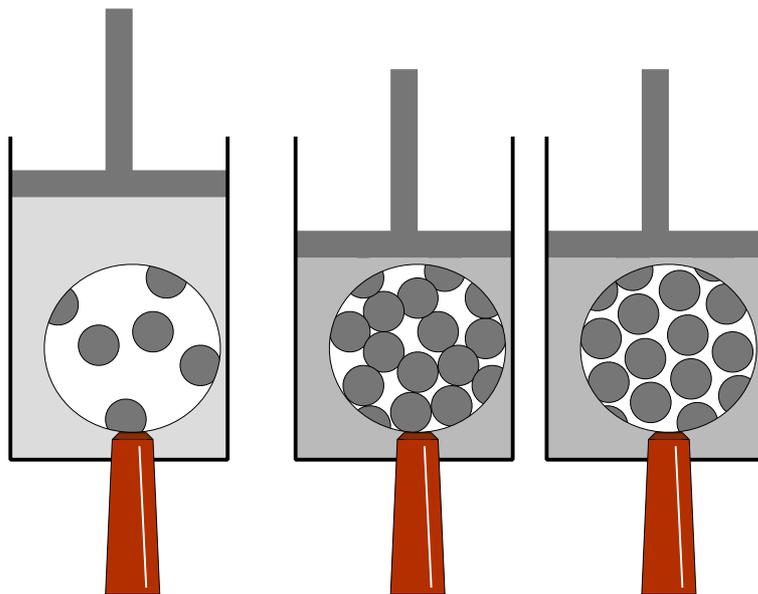
With a bit of the stuff I'm doing as well.

## Don't Let It Phase You

The phase transition of hard-spheres is driven by **entropy** alone.

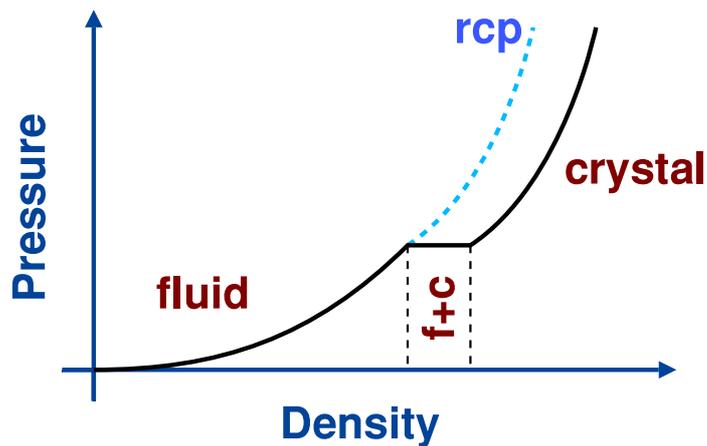
low density

*fluid phase*



high density

*ordered  
crystalline  
structure*

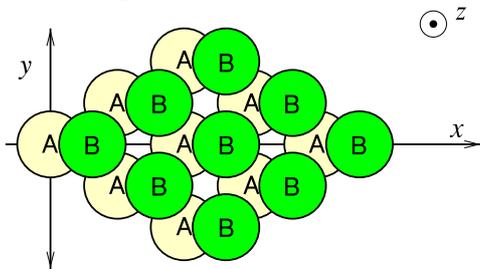


## So, What Precisely Is My Problem?

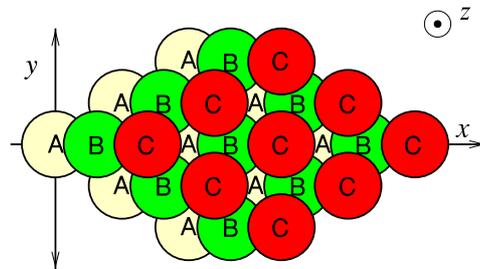
What is the structure of the solid phase?

The close-packed structures lead to highest entropy...

Hexagonal Close-Packed

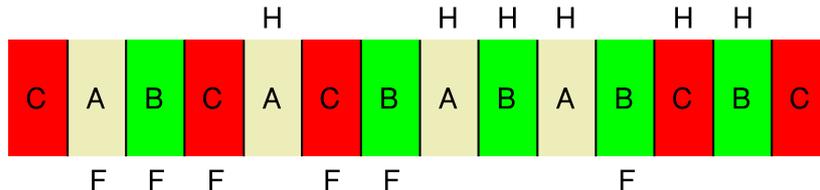


Face-Centred Cubic



Or some combination

*e.g. random hexagonal close-packed?*

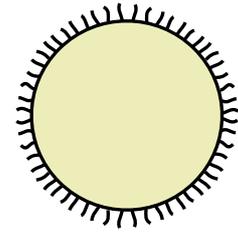


We define:

$$\alpha = \frac{V_{fcc}}{V_{total}} \begin{array}{l} = 0.0 \text{ for HCP} \\ = 0.5 \text{ for RHCP} \\ = 1.0 \text{ for FCC} \end{array}$$

## Real-Life Experience

Sterically-stabilised PMMA colloid suspension,  
i.e. lots of hairy spheres (radius  $\sim 200\text{nm}$ ).



Polymer hair provides short range repulsion.  
Good approximation to hard-spheres.

*Structure of crystals (usually) investigated via light-scattering.*

Most get RHCP:

Implying it doesn't care which stacking pattern it's in.

Some get FCC:

Implying it does care, and that FCC is preferred.

FCC has been seen in:

Samples grown slowly (weeks to months) via sedimentation.

Slow annealing RHCP to FCC.

Density matched (no gravitational effects) samples.

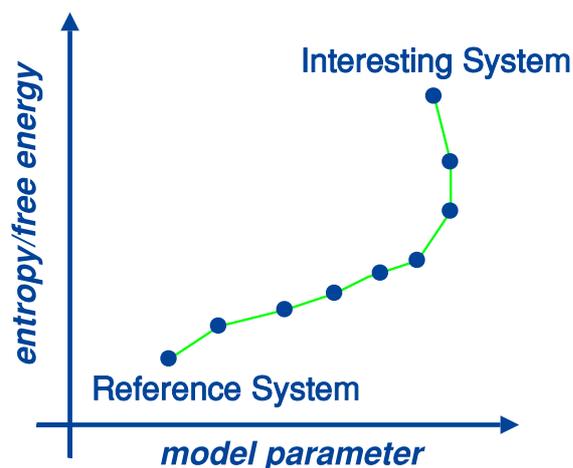
Gently sheared samples.

So, what does theory tell us...

## Theory: Summing It Up

We have a classical many-body problem.  
There is no reliable pen-and-paper theory.

Monte Carlo techniques cannot calculate free-energies directly.  
So, use integration methods:



e.g. integrate

$$\left(\frac{dS}{dV}\right)_U = \frac{P}{T}$$

from ideal gas ( $V = \infty$ )  
to crystal (low  $V$ )

using pressure data from  
simulations in between.

Used to estimate the entropy difference between FCC and HCP.  
*Typical results were  $\sim 0.0005 \pm 0.0015 Nk$ .*

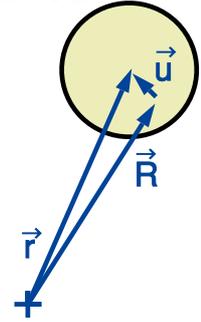
Monte Carlo **CAN** evaluate this entropy difference,  
**IF** the simulation can visit **BOTH** phases.

## Simulation: Lattice-Switch Monte Carlo

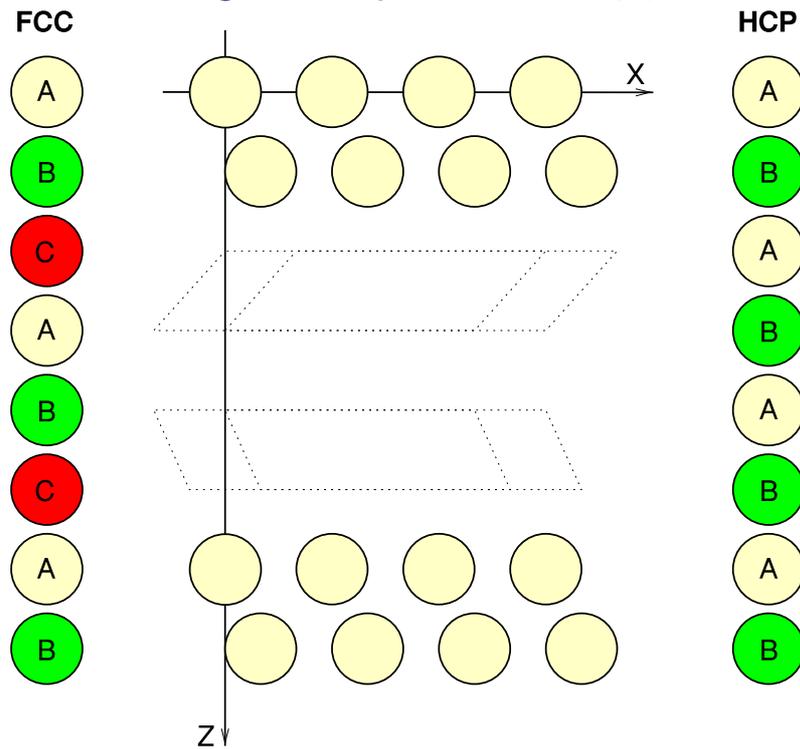
Decompose sphere positions into

$$\vec{r} = \vec{R} + \vec{u}$$

Lattice sites  $\{R\}$  and displacements  $\{u\}$

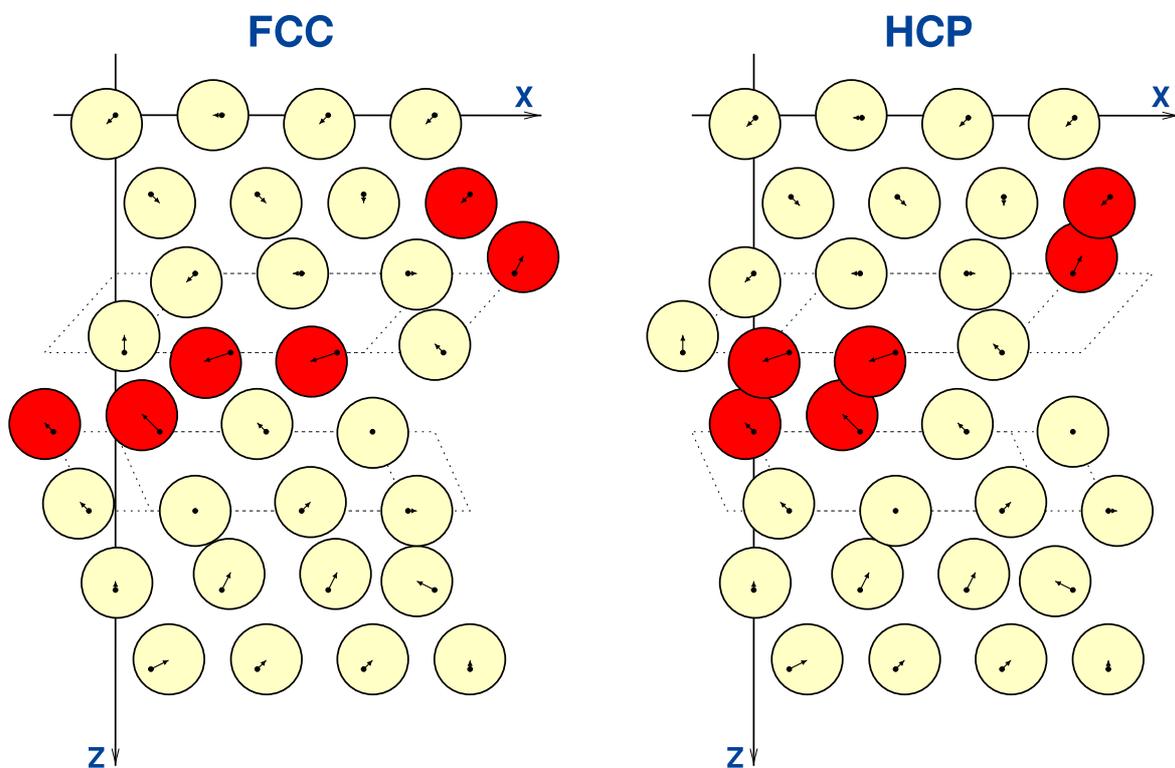


One can attempt to **switch** between two lattices, e.g.  $R_{\text{HCP}} \leftrightarrow R_{\text{FCC}}$  while holding the displacements  $\{u\}$  constant.



## Simulation: Lattice-Switch Monte Carlo (2)

However, the switch is rather unlikely.



So some nifty sampling is required (multi-canonical Monte Carlo).

See Phys. Rev. Lett. & forthcoming paper (or just ask me).

## **Mau & Huse: Overview**

### **Stacking entropy of hard-sphere solids**

*April 1999: Physical Review E,  
Vol. 59, No. 4, pp. 4396-4401.*

Simulations used to evaluate entropy differences  
between **various stacking patterns**.

Uses Lattice-Switch Monte Carlo and a related shear technique.

Simulation of the close-packed (infinite pressure) limit directly  
by simulating a system of **hard-dodecahedra**.

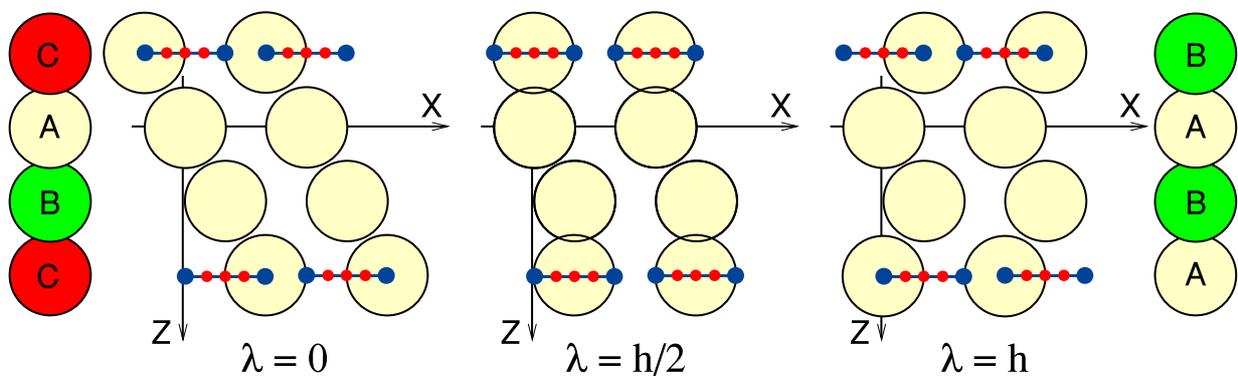
Fitting the results from different stacking patterns to a 'spin-model'.  
This allows the evaluation of the strength of correlations  
across 3, 4 and 5 layers.

Use their results to prove that FCC has the highest  
entropy compared to **all other possible stackings**,  
and for all densities from close-packed to melting.

## Mau & Huse: By Shear Chance

Define  $\lambda = 0 \dots h$  lattices  $\{R_\lambda\}$   
to linearly interpolate  
between two lattices.

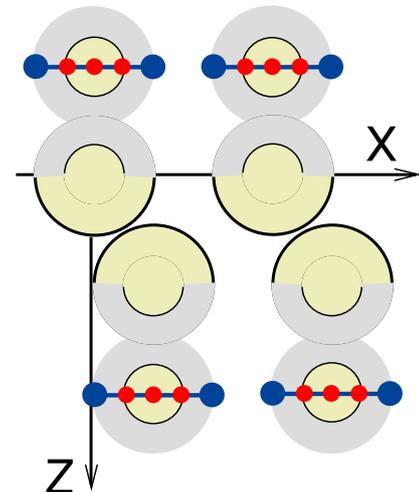
$$\vec{R}_\lambda = \frac{\lambda}{h} \cdot \vec{R}_{HCP} + \frac{(h - \lambda)}{h} \cdot \vec{R}_{FCC}$$



Between  $\lambda = 0$  and  $\lambda = h$ , the pair-wise  
interactions  $d_{ij}(\lambda)$  (the diameters)  
are altered so that all  $\lambda$  are visited.

That's  $\sim 6(h-1)N$  numbers to twiddle!

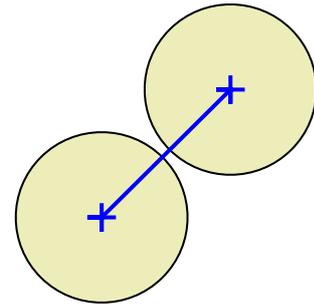
OK for small systems (up to  $N = 8^3$ ).  
Bottlenecks form in larger systems,  
where  $\lambda$  very rarely changes.



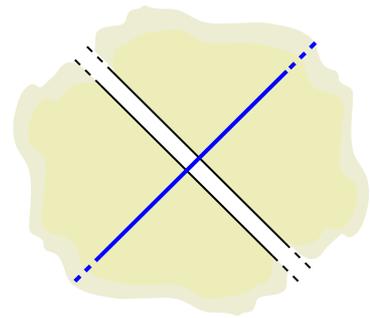
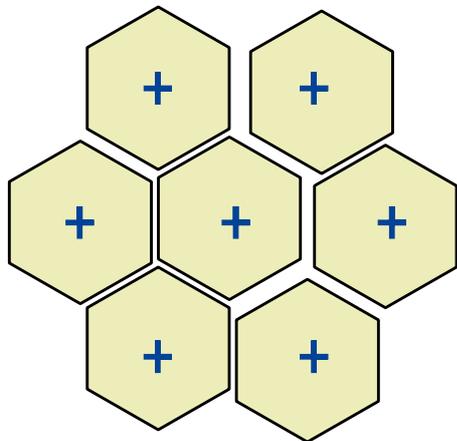
Thus, most results taken via our lattice-switch overlap technique.

## Mau & Huse: Taking It To The Limit

As the pressure tends to infinity,  
the density tends to the close-packed limit,  
and the distance between the surfaces of  
adjacent spheres tends to zero.



In this limit, the curvature of the spheres  
becomes negligible...

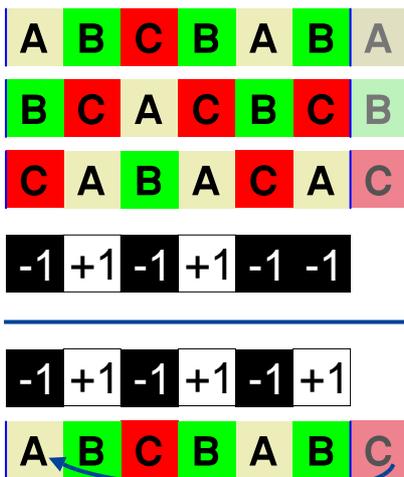


...and the system is formally equivalent<sup>†</sup>  
to a system of hard-dodecahedra  
(which cannot rotate).

In this way, we can simulate the  
close-packed limit directly.

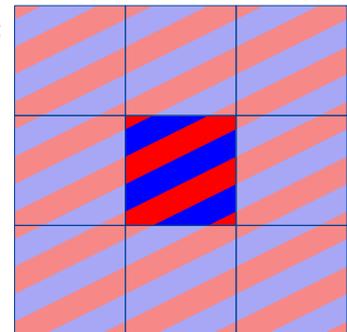
<sup>†</sup>See Alder et al, Journal Of Computational Physics, vol. 7, pp. 361-366 (1971).

## Mau & Huse: Different Stackings

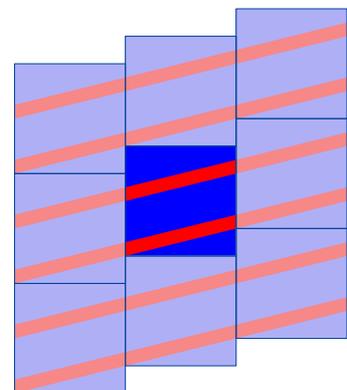


Distinct stacking patterns can be identified with an Ising-like spin-model:  
 $\sigma = +1$  for FCC,  $-1$  for HCP.

But, not all spin patterns will fit into normal periodic boundary conditions.



So, use shifted periodic boundary conditions.



Also, the spin-model allows the entropy to be expressed as:

$$S = \underbrace{N s_0}_{\text{local}} + \underbrace{N_L h \sum_i \sigma_i}_{\text{3 layer}} + \underbrace{N_L J \sum_i \sigma_i \sigma_{i+1}}_{\text{4 layer}} + \underbrace{N_L h' \sum_i \sigma_i \sigma_{i+1} \sigma_{i+2} + N_L J' \sum_i \sigma_i \sigma_{i+2}}_{\text{5 layer}}$$

So, we can estimate the range of correlations across layers.

## Mau & Huse: Results

$S_{\text{FCC}} - S_{\text{HCP}} = 2h + 2h'$ : at melting = 0.00090(4) Nk (per sphere),  
and at close-packing = 0.00115(4) Nk (per sphere).

Analysis of various different stackings:  
At close-packing, correlations extend over 4 layers.  
Near melting, correlations fall off more slowly.  
All values of  $h$ ,  $J$ ,  $h'$  &  $J'$  indicate that FCC is preferred.

*Finite size effects only observed for  $N < 8^3$ .*

*No anisotropy detected in HCP phase.  
( $c/a$  within  $\pm 0.002$  of isotropic value)*

Collisions with 2nd nearest neighbours found to contribute very little to the entropy ( $\sim 0.00008$  Nk at melting).  
No significant difference in the 2nd NN interactions  
between the two structures.

We (currently) find  $S_{\text{FCC}} - S_{\text{HCP}}$  at close-packing = 0.00132(4) Nk.  
Also, we find 2nd NN entropy  $\sim 6 \cdot 10^{-6}$  Nk at melting.  
*Our simulations (for this data) have only been at  $N = 6^3$ .*

## **Pronk & Frenkel: Overview**

Can stacking faults in hard-sphere  
crystals anneal out spontaneously?  
*March 1999: Journal of Chemical Physics,*  
*Vol. 110, No. 9, pp. 4589-4592.*

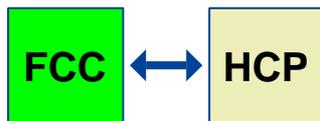
Lattice-Switch Monte Carlo used to evaluate the bulk and  
interfacial entropy difference between FCC and HCP.

This information is then used to construct an estimate of the  
entropy difference between **FCC** and **RHCP**.

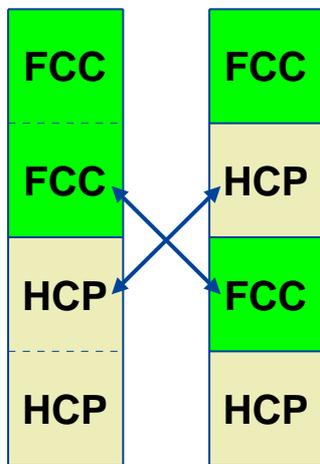
Determination of the range of stability of RHCP and FCC,  
**which is dependent on crystallite size.**

A version of the Wilson-Frenkel Law is used to estimate the rate at  
which a **RHCP** crystal will convert to **FCC**.

## Pronk & Frenkel: Nifty Calculations



Simple lattice-switch used to get FCC-HCP bulk entropy difference.

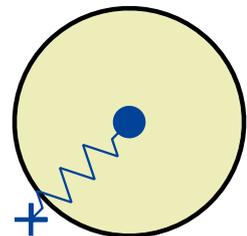


Also, lattice-switch simulation used to evaluate the difference between two systems at the same  $\alpha$  (0.5), but where one has twice the interfacial area of the other.

Results are checked using an integration method:

Spheres are attached to their sites by springs, all with the same spring constant  $\lambda$ .

$\delta S/\delta\lambda$  is integrated from a system with very strong springs (ie an Einstein crystal) to one with very weak/non-existent springs (normal crystal).



## Pronk & Frenkel: Results

$S_{\text{FCC}} - S_{\text{HCP}}$  near melting (77.78% of the close-packed density):

$$\text{L-S, } N = 6^3: 132(4) \cdot 10^{-5} \text{ Nk}$$

$$\text{L-S, } N = 12^3: 112(4) \cdot 10^{-5} \text{ Nk}$$

$$\text{IM, } N = 12^3: 113(4) \cdot 10^{-5} \text{ Nk}$$

*Speculates that  $N = 12^3$  is effectively equivalent to the thermodynamic limit ( $N \rightarrow \infty$ ).*

Our new lattice-switch results (at the same density):

$$N = 6^3: 133(3) \cdot 10^{-5} \text{ Nk}$$

$$N = 12^3: 113(3) \cdot 10^{-5} \text{ Nk}$$

$$N = 18^3: 110(3) \cdot 10^{-5} \text{ Nk}$$

*So  $N = 12^3$  is indeed statistically indistinguishable from the thermodynamic limit.*

Interfacial entropy difference estimated (from  $N = 12 \cdot 12 \cdot 24$ )

$$\text{to be } \gamma_{\text{fcc-hcp}} = 26(6) \cdot 10^{-5} \text{ Nk.}$$

$$\text{Mau \& Huse: } \gamma_{\text{fcc-hcp}} = 2J + 2J' = 12(4) \dots 41(10) \cdot 10^{-5} \text{ Nk.}$$

## Pronk & Frenkel: Random Stackage

The entropy difference (per sphere) between FCC and RHCP is:

$$\Delta S_{fcc-rhcp} = 0.5 \Delta S_{fcc-hcp} + 0.5 \gamma_{fcc-hcp} - \frac{\ln 2}{N_{layer}}$$

1st term: As RHCP is half and half FCC and HCP.

2nd term: On average there is a stacking fault every 2 layers.

3rd term: Each layer has a choice of 2 stackings.

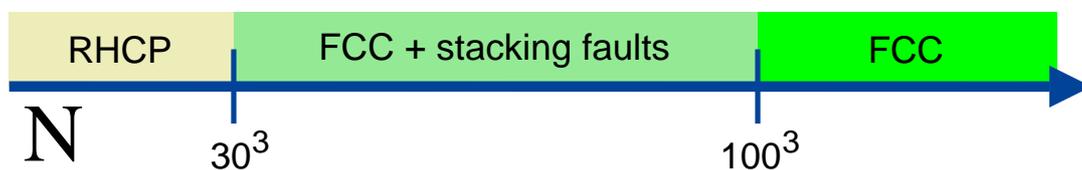
*This term disappears in the thermodynamic limit.*

Using their results for the thermodynamic limit:  $\Delta S_{fcc-rhcp} = 69(5) \times 10^{-5} - \frac{\ln 2}{N_{layer}}$

Mau & Huse find 1st term = 63(4)...66(11)·10<sup>-5</sup> Nk.

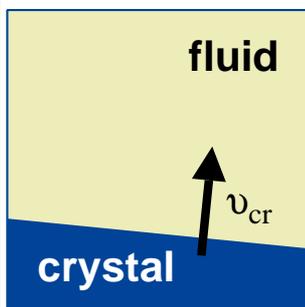
Pronk & Frenkel assume that stacking faults do not interact.  
*Corrections are probably smaller than statistical uncertainty.*

Equilibrium behaviour depends on crystallite size.



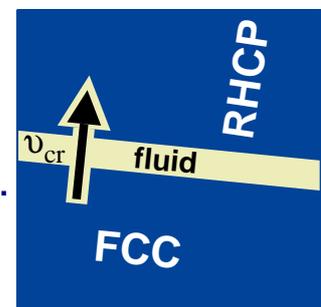
## Pronk & Frenkel: Estimating Growth

Assumption that conversion occurs only at the grain boundaries.  
Apply the Wilson-Frenkel law:



$$v_{cr} \approx \frac{D}{\Lambda} (e^{\Delta\mu/k_B T} - 1)$$

$D$  = (short-time) self-diffusion rate.  
 $\Lambda$  is how far a particle must travel to become part of the crystal.



We assume grain boundaries are fluid-like,  
take  $\Lambda \sim$  sphere radius  $\approx 200\text{nm}$ ,  
we replace  $\Delta\mu$  by  $\Delta S_{FCC-RHCP}$  and take  $D \sim 2 \cdot 10^{-10} \text{cm}^2 \text{s}^{-1}$ .  
 $\therefore v_{cr} \sim 7 \cdot 10^{-9} \text{cm s}^{-1}$ .

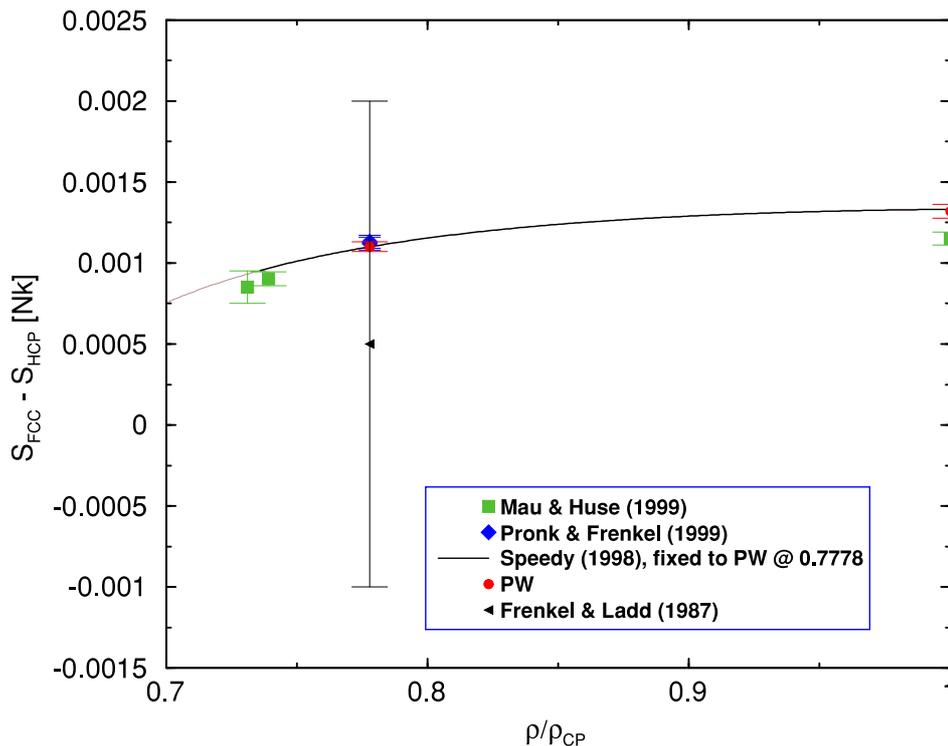
*ie several months to convert a 1mm RHCP crystallite to FCC.*

Can determine a rate function:

$\Gamma(\mathcal{L}) = v_{cr}(\mathcal{L})/\mathcal{L} =$  *time taken for crystallite of linear dimension  $\mathcal{L}$  to change from RHCP to FCC.*

Maximum occurs at  $N \sim 60^3$  ( $\mathcal{L} \sim 12\mu\text{m}$ ).  
Crystals of this size should be the first to become FCC.

## The Grand Finale



For RHCP, we get  $S_{\text{FCC}} - S_{\text{RHCP}} \sim 6 \cdot 10^{-4} \text{ Nk}$  (per sphere).  
Size dependence and RHCP to FCC conversion may explain most of the experimental results.

*We need to know (from experiment) the size-distribution of crystallites to test this speculation rigorously.*

Work so far cannot (directly) explain the effect gravity has on crystallisation, but size-distribution data may help.

*The State of the Hard Sphere Solid*

